

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission Application Number 09/690,279 Filing Date October 16, 2000 First Named Inventor Kenneth W. Aull Group Art Unit 2131 Examiner Name Unassigned Attorney Docket Number 15-0218

	9	13-0218							
ENCLOSURES (check all that apply)									
X Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group							
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences							
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information							
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition								
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter							
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) _ (please identify below):							
Express Abandonment Request	Terminal Disclaimer Small Entity Statement	Copy of Notice to File Missing Parts							
Information Disclosure Statement	·	Declaration							
Certified Copy of Priority Document(s)	Remarks Response to Notice to F	File Missing Parts of Application in							
X Response to Missing Parts/ Incomplete Application	Duplicate Fee Transmittal Form in Duplicate								
Response to Missing Parts under 37 CFR 1.52 or 1.53	:								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Firm or Individual name Michael S. Yatsko									
Signature Muhaul 5. Yatilo									
Date 2/4/01									
CERTIFICATE OF MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:									
Typed or printed name OV MGL - School									
Signature School Date 760									

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Under the Paperwork Reduction for FY 2000

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

METHOD OF PAYMENT (check one)

TOTAL AMOUNT OF PAYMENT

(\$)130.00

Partie de la company de la com						
Complete if Known						
Application Number	09/690,279					
Filing Date	October 16, 2000					
First Named Inventor	Kenneth W. Aull					
Examiner Name	Unassigned					
Group / Art Unit	2131					
Attorney Docket No.	15-0218					

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: 3. ADDITIONAL FEES Large Entity Small Entity							
Deposit			Fee Cod	Fee	Fee Description	Fee Paid	
Account Number 20-1515	105	130	205	65	Surcharge - late filing fee or oath	130.00	
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00	
Account Name TRW Inc.	139	130	139	130	Non-English specification	0.00	
Charge Any Additional Fee Required	147	2.520		2,520	For filing a request for reexamination	0.00	
Under 37 CFR §§ 1.16 and 1.17		920*		920*	Requesting publication of SIR prior to	0.00	
2. Payment Enclosed:		1,840*	113	1 840	Examiner action Requesting publication of SIR after		
Check Money Other	113	•			Examiner action	0.00	
FEE CALCULATION	115	110	215	55	Extension for reply within first month Extension for reply within second month	0.00	
1. BASIC FILING FEE	116	380	216 217	190 435	Extension for reply within third month	0.00	
Large Entity Small Entity	117 118	870 1,360	217	435 680	Extension for reply within fourth month	0.00	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid		1,850	228		Extension for reply within fifth month	0.00	
101 690 201 345 Utility filing fee	119	300		150	Notice of Appeal	0.00	
106 310 206 155 Design filing fee	120	300		150	Filing a brief in support of an appeal	0.00	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	0.00	
108 690 208 345 Reissue filing fee	138	1,510		1,510	Petition to institute a public use proceeding	0.00	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	0.00	
SUBTOTAL (1) (\$) 0.00	141	1,210	241	605	Petition to revive - unintentional	0.00	
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	0.00	
Extra Claims Fee from below Fee Pald Total Claims -20** = X = 0		430	243	215	Design issue fee	0.00	
		580	244	290	Plant issue fee	0.00	
Independent 3** = X =	122	130	122	130	Petitions to the Commissioner	0.00	
Multiple Dependent =0	123	50	123	50	Petitions related to provisional applications	0.00	
**or number previously paid, if greater, For Reissues, see below Large Entity Small Entity	126	240	126	240	Submission of Information Disclosure Stmt	0.00	
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00	
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection		
102 78 202 39 Independent claims in excess of 3	149	690	249	345	(37 CFR § 1.129(a))	0.00	
104 260 204 130 Multiple dependent claim, if not paid	145	050	240	343	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	
109 78 209 39 ** Reissue independent claims over original patent		fee (sp	ecify)			0.00	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		Other fee (specify)				0.00	
SUBTOTAL (2) (\$) 0.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13						80.00	
SUBMITTED BY					Complete (if applicable)		
Name (Print/Type) Michael S. Yatsko Registration No. (Attorney/Agent) 28,135 Telephone 310-812-4910							
Princeton Maria (Attorney/Agent) 20,155 510-012-4510							

Signature //www.5. Julito 2/4/01 WARNING:

> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kenneth W. Aull, et al.

Serial No:

09/690.279

October 16, 2000

For:

AUTOMATED TRACKING OF

CERTIFICATE PEDIGREE

TRW Docket: 15-0218

Examiner: Unassigned

RESPONSE TO NOTICE TO FILE MISSING PAR

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Attention: BOX MISSING PARTS

Dear Sir:

This is being filed in response to the Notice to File Missing Parts of Application mailed December 6, 2000. Enclosed is the original Declaration, and a copy of the Notice to File Missing Parts of Application.

The Commissioner is hereby authorized to charge Deposit Account 20-1515 for the surcharge fee of \$130.00 and any other fees which may be required under 37 CFR 1.16. A duplicate of this sheet is enclosed.

Respectfully submitted,

Dated: 2/4/01

Attorney of Record

Registration No. 28,135

TRW Inc. - Law Department One Space Park, Bldg. E2/6051 Redondo Beach, CA 90278

Tel.: (310) 812-4910 Fax: (310) 812-26875





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/690,279

10/16/2000

Kenneth W. Aull

15-0218

Patent Counsel TRW Inc Space & Electronics Group One Space Park E2/6051 Redondo Beach, CA 90278



FORMALITIES LETTER

OC000000005599707

Date Mailed: 12/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2001 RHARIS1 00000002 201515 09690279

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